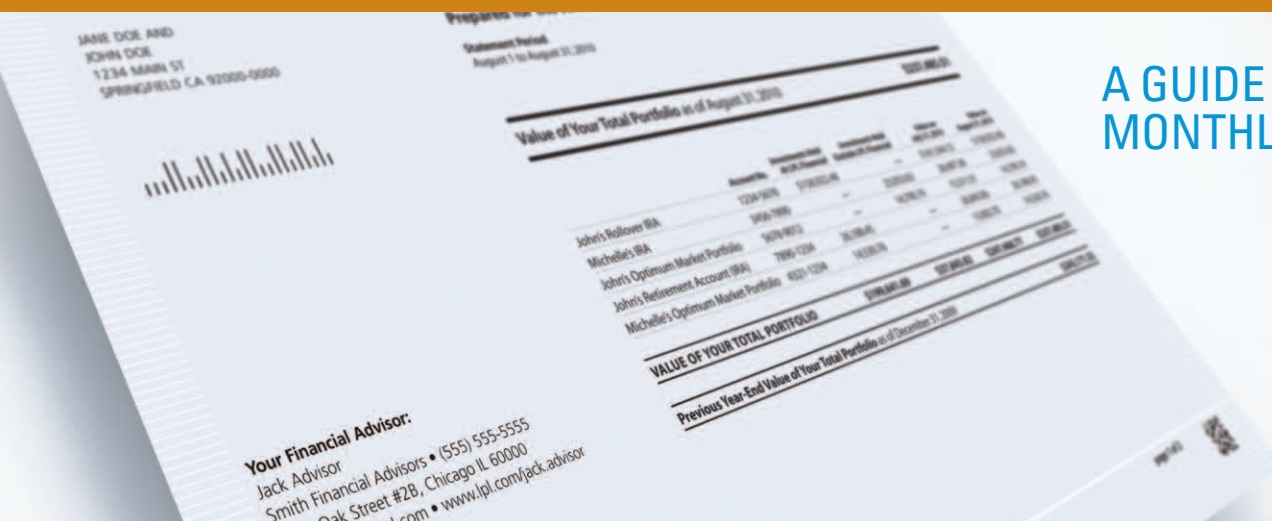


smart, direct, comprehensive



A GUIDE TO YOUR
MONTHLY STATEMENT

Your monthly statement from LPL Financial is designed to provide a clear, comprehensive view of your investments.

- **A summary of key information** is presented first on statements.
- **Total values and important changes** are highlighted up-front, followed by supporting details.
- **Charts and graphs** help you understand your information at a glance.
- **Explanations and disclosures** are written in plain, understandable language.
- If you have questions, **your financial advisor's phone number and contact information** are shown on the front of every page.
- **Your monthly brokerage statement:** one more reason to work with a financial advisor backed by the resources of LPL Financial. With us, your needs always come first.

Consolidated Portfolio Summary

The Consolidated Portfolio Summary provides a high-level view of all your LPL Financial accounts.

If you have more than one LPL Financial account, but receive separate statements, you won't see this summary. Ask your advisor to set up a combined statement for your accounts.

Your advisor's full contact details.

JANE DOE AND
JOHN DOE
1234 MAIN ST
SPRINGFIELD, CA 92000-0000

Consolidated Portfolio Summary Prepared for the John Doe Family

Statement Period
August 1 to August 31, 2010

Value of Your Total Portfolio as of August 31, 2010

\$237,485.51

	Account No.	Investments Held At LPL Financial	Investments Held Outside LPL Financial	Value on July 31, 2010	Value on August 31, 2010
John's Rollover IRA	1234-5678	\$158,922.48	—	\$161,544.12	\$158,922.48
Michelle's IRA	3456-7890	—	23,053.63	28,487.38	23,053.63
John's Optimum Market Portfolio	5678-9012	—	14,790.19	15,511.51	14,790.19
John's Retirement Account (IRA)	7890-1234	26,188.45	—	26,843.06	26,188.45
Michelle's Optimum Market Portfolio	4321-1234	14,530.76	—	15,082.70	14,530.76

VALUE OF YOUR TOTAL PORTFOLIO

\$199,641.69

\$37,843.82

\$247,468.77

\$237,485.51

Previous Year-End Value of Your Total Portfolio as of December 31, 2009

\$243,171.52

Your Financial Advisor:

Jack Advisor
Smith Financial Advisors • (555) 555-5555
1234 Oak Street #28, Chicago IL 60000
jack_advisor@lpl.com • www.lpl.com/jack_advisor

Securities offered through LPL Financial
Member FINRA/SIPC
One Beacon Street, 22nd floor, Boston, MA 02108-1106

Consolidated Portfolio Summary / Prepared for the John Doe Family

page 1 of 2

The total value of the holdings in your portfolio, including outside investments linked to your accounts.

A list of the accounts included in your portfolio.

Value at the beginning of the period.

A snapshot of any changes that have occurred during the period: additions, withdrawals and other changes, and increase or decrease in market value.

Value of your investments at the end of the period.

<p>Consolidated Portfolio Summary</p>		<p>Statement Period August 1 to August 31, 2010</p>	
		<p>VALUE OF YOUR INVESTMENTS HELD AT LPL FINANCIAL</p>	
Previous Year-End Value as of December 31, 2009		\$956,286.48	
Beginning Value as of July 31, 2010		\$1,024,543.62	
Additions		42,385.55	
Withdrawals		-80,485.26	
Dividends, Interest and Capital Gains		46,546.48	
Other Account Activity ¹		-285.00	
Increase/decrease in Market Value ²		9,292.53	
Ending Value as of August 31, 2010		\$1,041,998.92	
		<p>TOTAL PORTFOLIO INVESTMENT SUMMARY as of August 31, 2010</p>	
Investment Type	Amount	Percent	
Cash and Cash Equivalents	\$ 30,309.89	2.76%	
Equities and Options	156,491.29	14.25%	
Fixed Income	125,632.31	11.44%	
Mutual Funds	640,131.75	58.29%	
Annuities	133,035.80	12.11%	
Other Assets	12,583.46	1.15%	
Total	\$1,098,184.50	100%	
<p>¹Includes fees, expenses and other activity during the period. See "Account Activity". ²Increase/decrease in Market Value reflects the impact of changes in the value of securities held in your LPL accounts as well as the impact of any transfers of securities into or out of your account during the statement period.</p>			
<p>LPL Financial</p>		<p>Consolidated Portfolio Summary / Prepared for the John Doe Family page 2 of 2</p>	

Previous year-end value of your investments, for comparison.

The allocation of the investments in your portfolio displayed by investment type in a table and a pie chart.

The examples shown are merely an illustration and do not represent an actual statement.

Investment Account Summary

The first page of an account statement gives you a high-level view of your account and any changes in value that have occurred during the period. The format of the account-level summary is similar to that of the Consolidated Portfolio Summary, so they are easy to reconcile.

The following pages — Account Holdings, Account Activity, and Account Detail — supply the details behind the numbers found in your account summary.

Account nickname, if your advisor has assigned one.

Like the Consolidated Portfolio Summary, this table shows the drivers of the change in market value for the period.

Parties you have designated to receive copies of your statement are listed here.

JANE DOE AND
JOHN DOE
1234 MAIN ST
SPRINGFIELD CA 92000-0000

John and Jane's Investment Account

Statement Period
August 1 to August 31, 2010

Investment Account Premier Check Writing 1234-5678
Investment Objective Income

Total Value of Your Account as of August 31, 2010 **\$950,068.80**

INVESTMENTS HELD AT LPL FINANCIAL

Previous Year-End Value as of December 31, 2009	\$871,619.39
Beginning Value as of July 31, 2010	\$898,576.69
Additions	40,285.20
Withdrawals	-60,485.26
Dividends, Interest and Capital Gains	6,461.48
Other Account Activity ¹	-285.00
Increase/Decrease in Market Value ²	22,500.84
Ending Value as of August 31, 2010	\$907,053.95

INVESTMENTS HELD OUTSIDE LPL FINANCIAL

	Year-End Value December 2009	Value on July 31, 2010	Value on August 31, 2010
Mutual Funds	\$15,744.77	\$17,121.47	\$17,834.86
Alternative Investments ³	10,000.00	9,696.00	10,100.00
Annuities	13,042.68	14,024.39	15,079.99
Total	\$38,787.45	\$40,841.86	\$43,014.85

TOTAL VALUE OF YOUR ACCOUNT **\$871,619.39** **\$939,418.55** **\$950,068.80**

Copies of this statement were sent to:

Olive Doe
John Johnson, CPA

Your Financial Advisor:

Jack, Advisor
Smith Financial Advisors • (555) 555-5555
1234 Oak Street #2B, Chicago IL 60000
jack.advisor@lpl.com • www.lpl.com/jack.advisor

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One Beacon Street, 22nd floor, Boston, MA 02108-3106

John and Jane's Investment Account / Investment Account Premier Check Writing 1234-5678

page 1 of 14

Your current investment objective for this account is shown.

Previous year-end value of the account, for comparison.

Investments held outside LPL Financial can be linked to your account by your advisor. Information about them will be provided, to the extent it is made available to us by the firm holding the investments.

If your advisor has elected to include it, the Financial Market Indicators table provides the month-end value of five key indices. (You'll see a different set of indices, compared against account performance, if you have an Optimum Market Portfolio account.)

An estimate of annual income and accrued interest as of the end of the statement period, if applicable.

John and Jane's Investment Account

Statement Period
August 1 to August 31, 2010

ACCOUNT INVESTMENT SUMMARY as of August 31, 2010

Investment Type	Amount	Percent ¹
Cash and Cash Equivalents	\$ 6,069.34	0.64%
Equities and Options	157,973.91	16.63%
Fixed Income	119,827.50	12.61%
Mutual Funds	622,937.07	65.57%
Annuities	18,080.99	1.90%
Alternative Investments ³	15,079.99	1.59%
Alt. Inv. held outside LPL ³	10,100.00	1.06%
Total	\$950,068.80	100.00%

A pie chart illustrating the asset allocation of the investment account. The largest portion is Mutual Funds at 65.57%, followed by Equities and Options at 16.63%, and Fixed Income at 12.61%. Smaller slices represent Alternative Investments (1.59%), Annuities (1.90%), and Alt. Inv. held outside LPL (1.06%).

FINANCIAL MARKET INDICATORS

	Year-End Value December 2009	Value on July 31, 2010	Value on August 31, 2010
Dow Jones Industrial Average	12,106.19	12,437.71	12,637.71
S&P 500 Index	1,348.42	1,438.24	1,449.07
NASDAQ Composite Index	2,346.39	2,463.93	2,539.93
3-Month Treasury Bill	5.01%	5.11%	5.21%
30-Year Treasury Bond	4.81%	4.91%	5.01%

EARNINGS SUMMARY as of August 31, 2010

Estimated Annual Income	\$ 19,897.63
Estimated Accrued Interest	\$ 13,215.63

PURCHASING POWER as of August 31, 2010

Available Cash	\$5,910.32
Available Margin Balance	\$44,034.10

¹ Investment types of less than 1% are too small to show on pie chart.

³ Based on program management's unconfirmed estimate of net assets.

LPL Financial

John and Jane's Investment Account / Investment Account Premier Check Writing 1234-5678

page 2 of 14

The percentage of the assets in your account by investment type is shown in the table and visualized in a pie chart so it can be grasped quickly.

If you have a margin account, your Purchasing Power (that is, your available cash and margin balances) is shown.

Account Holdings

Your account holdings are grouped by investment type, and the value of each security on the last day of the month is displayed. The investment types correspond to those shown in the investment allocation and pie chart in your account-level summary.

Excess cash swept into a Money Market Fund or Insured Cash Account is displayed separately. The banks holding your insured cash account deposits are also shown, along with interest paid and a blended interest rate.

Totals for each asset type are provided for a clear view of your investment mix.

Account Holdings as of August 1 to August 31, 2010

CASH AND CASH EQUIVALENTS

Description	Interest Paid in August ¹	Blended Interest Rate ²	Current Balance
Cash			\$1,408.94
Money Market Funds			0.00
Insured Cash Account ³			
Sovereign Bank			4,484.50
TrustMark National Bank			6.88
Associated Bank N/A			10.00
Total Insured Cash Accounts	31.18	4.55%	4,501.38
TOTAL CASH AND CASH EQUIVALENTS			\$5,910.32

EQUITIES AND OPTIONS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost ⁴	Unrealized Gain or Loss	Annual Income	Estimated 30-Day Yield
02/26/96	CHEVRON CORP CVX ⁴	61		\$4,485.33	\$50.9385	\$3,107.25	\$1,378.08	\$126	2.83%
						3,107.25			
07/01/02		8		588.24	44.2750	354.20	234.04	16	
Total	691 shares are in your margin account	69	\$73.53	5,073.57	44.8632	3,461.45	1,612.12	142	
						3,107.25			
N/A	CONSECO INC NEW CNQ ⁴	8	19.98	159.84	N/A	N/A	N/A		
	8 shares are in your margin account								
07/01/02	DUKE ENERGY CORP NEW DUK ⁴	1,170	33.21	38,855.70	23.0545	26,973.75	11,881.95	1,497	3.85%
	1,170 shares are in your margin account					26,973.75			
TOTAL EQUITIES AND OPTIONS				\$44,089.11		\$30,435.20	\$13,494.07	\$1,639	
						\$30,081.00			

¹ Insured Cash Accounts are FDIC insured, are not obligations of LPL or SIPC, and are not available for margin purposes.

² Interest is paid on daily balances at a blended rate reflecting the weighted average rate during the statement month.

³ Purchase Cost equals Cost Basis less any reinvested dividends and interest.

⁴ Dividends and/or capital gains distributed by this security will be reinvested.

Questions? Contact Jack Advisor, Smith Financial Advisors
(555) 555-5555 • jack.advisor@lpl.com

Account Holdings / John and Jane's Investment Account 1234-5678

page 3 of 14

If cost basis is activated by your advisor, and the information is available to LPL Financial, Unit Cost, Cost Basis/ Purchase Cost, and Unrealized Gain or Loss will appear.

"N/A" or "—" is displayed when data are missing or incomplete and treated as zero when calculating totals.

Investments Held Outside LPL Financial

If you hold mutual funds, annuities, and/or alternative investments outside LPL Financial and your advisor has linked them to your account, details about these holdings will be displayed separately.

Investments Held Outside LPL Financial as of August 31, 2010				
MUTUAL FUNDS				
Date Acquired	Description/Security ID	Quantity	Price	Market Value
N/A	CAPITAL WORLD GROWTH & INCOME FUND CLASS C 140543307	406.447	\$41.61	\$16,914.25
N/A	EURO PAC GROWTH FD CL C 298706300	241.61	45.62	11,022.61
TOTAL MUTUAL FUNDS				\$27,934.86

Account Activity

The transactions and other activities that have occurred in your account during the month are summarized by type at the beginning of the section. The details of each activity type are displayed in a separate table. If there is no activity of a particular type during the month, that table does not appear.

The Account Activity Summary helps you monitor activities by type, over time.

Account Activity as of August 31, 2010

ACCOUNT ACTIVITY SUMMARY

	Period Ending July 31, 2010	Period Ending August 31, 2010	Year-to-Date
Securities Purchased	-\$ 25,321.50	-\$ 49,211.00	-\$ 74,532.50
Securities Sold	25,439.75	23,616.98	49,056.73
Additions to Your Account	5,476.96	691.67	6,168.63
Withdrawals from Your Account	-19,532.17	-56,967.63	-76,499.80
Dividends, Interest and Capital Gains	31,759.40	39,593.53	71,352.93
Reinvestments	-18,954.97	-2,942.05	-21,897.02
Net Change in Insured Cash Account Balance ¹	3,569.01	-4,501.38	-932.37
Net Change in Money Market Fund Balance	40.00	0.00	40.00
Fees and Expenses ²	-415.25	-575.58	-990.83
Other Activity	250.00	7,920.00	8,170.00

A total is provided for each type and corresponds to the figure presented in the summary above.

Columns in the activity tables are consistently arranged for ease of navigation.

SECURITIES PURCHASED

Date	Activity Type	Description/Security ID	Quantity	Price	Amount
02/06/07	Purchase ^M	EATON VANCE MUNIS TR EV ORE MUNIS FD CL A ETORX	1,363.636	\$9.68	-\$ 13,205.50
02/08/07	Purchase ^M	LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A NEFZX	2,408.027	14.95	-\$ 36,005.50
TOTAL SECURITIES PURCHASED					-\$ 49,211.00

SECURITIES SOLD

Date	Activity Type	Description/Security ID	Quantity	Price	Amount
02/06/07	Sale ^M	INVESTMENT CO AMERICA CL A AIVSX	1.4	\$ 35.71	\$ 30.00

SECURITIES SOLD continue on page 8

Dividends, Interest and Capital Gains are broken out by type and arranged chronologically.

DIVIDENDS, INTEREST AND CAPITAL GAINS

Date	Activity Type	Description/Security ID	Amount
02/01/07	Cash Dividend	FIDELITY ADVISOR SR II HIGH INCOME ADVANTAGE FD CL T 120106 6.58200 FAHYX	\$ 2.32
02/06/07	Cash Dividend ^M	SOUTHERN COMPANY 120606 1,600 SO	620.00
02/11/07	Cash Dividend ^M	CHEVRON CORP 121106 691 CVX	359.32
02/15/07	Cash Dividend ^M	MGE ENERGY INC 121506 900 MGEE	313.50
02/18/07	Cash Dividend ^M	DUKE ENERGY CORP NEW 121806 1,170 DUK	374.40
02/22/07	Cash Dividend ^M	HALLIBURTON COMPANY 122206 400 HAL	30.00
02/26/07	Cash Dividend ^M	AMERICAN MUTUAL FUND INCORPORATED CLASS A 122206 2,288 027681105 AS OF 02/22/07	343.20
02/26/07	Cash Dividend ^M	INVESTMENT CO AMERICA CL A 122206 15,624 AIVSX AS OF 02/22/07	4,530.96
02/26/07	Long-Term Capital Gain ^M	INVESTMENT CO AMERICA CL A 122206 15,624 AIVSX AS OF 02/22/07	32,216.69
02/26/07	Cash Dividend ^M	INVESTMENT CO AMERICA CL A 122206 1,337.80000 AIVSX AS OF 02/22/07	362.46
02/28/07	Interest	INSURED CASH ACCOUNT 122906 31	31.18
02/28/07	Interest	MERRILL LYNCH PFD CAP TR V 7.28% TOPRS 122906 900 MERF	409.50
TOTAL DIVIDENDS, INTEREST AND CAPITAL GAINS			\$39,593.53

Account Detail

This section includes information that may or may not appear, depending on the type of account you have, activities that have occurred during the statement period, and the options your advisor has selected.

Year-to-date gains and losses — categorized as short- or long-term — are displayed when you have liquidated positions from your account (if cost basis has been activated).

Account Detail as of August 31, 2010

YEAR-TO-DATE LONG-TERM REALIZED GAIN/LOSS

Closing Date	Transaction Type	Description/Security ID	Date Acquired	Quantity	Acquisition Price	Cost Basis	Sale Price	Proceeds	Gain or Loss
08/31/06	Sell	MIRANT CORP NEW XXX SUBMITTED FOR TENDER PRICE NOT SPECIFIED	N/A	17	N/A	N/A	N/A	\$484.50	\$484.50
02/04/07	Sell	FREESCALE SEMICONDUCTOR INC CLASS B	07/01/02	198	13.74	2,730.10	N/A	7,920.00	5,189.90
03/04/07	Sell	NOKIA CORPORATION SPONSORED ADR NOK	07/19/00	250	53.00	13,520.38	19.12	4,648.58	-8,871.80
03/04/07	Sell	QWEST COMM INTL INC Q	07/01/02	243	2.55	619.65	5.49	1,268.02	768.02
TOTAL REALIZED LONG-TERM GAIN/LOSS						\$16,870.13		\$14,321.10	-\$2,549.03

YEAR-TO-DATE SHORT-TERM REALIZED GAIN/LOSS

Closing Date	Transaction Type	Description/Security ID	Date Acquired	Quantity	Acquisition Price	Cost Basis	Sale Price	Proceeds	Gain or Loss
02/05/07	Sell	OPPENHEIMER ROCHESTER VA MUNICIPAL FUND CLASS A DRVAX	10/18/06	989.277	\$12.97	\$12,830.93	\$13.26	\$13,112.35	\$281.42
02/05/07	Sell		11/22/06	3.393	13.19	44.76	13.26	44.99	0.23
Total				996.152		12,875.69		13,157.34	281.65
TOTAL REALIZED SHORT TERM GAIN/LOSS						\$12,875.69		\$13,157.34	\$281.65

The total of your net gains and losses is calculated for you and cost basis information is provided, if it is available.

If you have a Premier Plus account, details of your check and debit card transactions will appear.

PREMIER PLUS CHECKING DETAIL				
Date	Check Number	Payee	Category	Amount
02/06/07	1237	BLUE SHIELD OF CA	UNASSIGNED	\$938.00
02/11/07	1238	COMMONWEALTH EDISON	UTILITIES	350.00
02/18/07	1239	UCLA	DONATION	18,000.00
02/28/07	1240	OLIVE DOE	UNASSIGNED	150.00
TOTAL CHECKING ACTIVITY				\$19,438.00
PREMIER PLUS DEBIT CARD DETAIL				
Date	Activity/Merchant	Location	Reference No.	Amount
02/11/07	ATM WITHDRAWAL	BK OF AMER 100 CALIF, SF, CA	0001224021	\$301.50
02/17/07	MACY'S WEST	LOS ANGELES, CA	0000280000	71.17
02/19/07	BED BATH & BEYOND	NOVATO, CA	0000280000	69.35
02/21/07	UNION SQUARE CAFÉ	NEW YORK, NY	0001224021	337.72
TOTAL DEBIT CARD ACTIVITY				\$779.74

The check number and payee will appear, and you can assign many of these transactions to specific categories for ease of reference.

If you are making contributions to your retirement account, details of your deposits will appear with information about the year to which they are attributed.

IRA CONTRIBUTION SUMMARY				
	Contributions Applied to Previous Year 2009		Contributions Applied to Current Year 2010	
	May	Year-to-Date	May	Year-to-Date
Rollover	—	—	—	\$16.26
TOTAL CONTRIBUTIONS	—	—	—	\$16.26

If you are taking distributions from your retirement account, withdrawal details, including any taxes withheld will be displayed.

IRA DISTRIBUTION SUMMARY				
	Distributions Applied to Previous Year 2009		Distributions Applied to Current Year 2010	
	May	Year-to-Date	May	Year-to-Date
Distributions	—	-\$13,000.00	—	—
Tax Withholding	—	-1,225.00	—	—
TOTAL DISTRIBUTIONS	—	-\$14,225.00	—	—

EXPECT THE VERY BEST

When you work with a financial advisor backed by the resources of LPL Financial, you can expect:

- **Your needs to come first** — Your financial advisor will engage you in an ongoing conversation about your needs, goals and objectives to create the financial plan that is right for you.
- **Objective guidance and advice** — With access to unbiased independent research, your financial advisor can help you make informed, objective decisions.
- **Investment choice and flexibility** — LPL Financial does not offer any proprietary products, so your advisor can help you choose unbiased investment solutions from many of the nation's leading investment managers.

To the extent you are receiving investment advice from a separately registered independent investment advisor, please note that LPL Financial is not an affiliate of and makes no representation with respect to such entity.

Not FDIC/NCUA Insured	Not Bank/Credit Union Guaranteed	May Lose Value	Not Insured by any Federal Government Agency	Not a Bank Deposit
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